

The National Hurricane Center Model Evaluation Process for the Operational Implementation of the Hurricane Analysis and Forecast System Version 1 (HAFSv1) Models

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Funding: HFIP; Cooperative Agreement NA19OAR4320073



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Planning and coordinating model evaluations

HAFSv1 2020–2022 Evaluation Recipe

Optimal interpolator offsets

- Aim to minimize MAE associated skill for intensity

General evaluation with NHC forecast verification code (early and late guidance)

- HFSA/HFSB track/intensity/wind radii errors & biases relative to HWRF
 - Track errors/biases relative to GFS (parent model)
- Frequency of superior performance for track/intensity/wind radii
- Consensus model replacements with HFSA/HFSB
 - TVCA, TVCE, IVCN, and RVCN
 - For TVCA and RVCN – can test replacing HWRF with either HFSA or HFSB

Stratifying the verification

- RI (occurring or forecast to occur at verification time)
- Regional (e.g., MDR, Caribbean)
- Wind speed thresholds (e.g., 64 knots to isolate hurricanes from TD/TS)
- Individual storm evaluations (list of cases needed)

HFSA Tests

- Replace HWRF/HMON with HFSA/HFSB
- Merge HFSA/HFSB with HWRF/HMON
- Input model exclusion tests
- Training dataset length experiments

Additional evaluation considerations

- Probability of detection vs. false alarm ratio (categorical performance diagrams)
 - HWRF, HMON, HFSA, HFSB
- Consistency metrics
 - Ditchek "relative consistency" metric
 - Combines MAE, Median of absolute errors, and FSP
 - Franklin and Penny "self-consistency" metrics
 - FSTDV4 – standard deviation of four most recent forecasts
 - FDIFF12 – difference between most recent forecast and 12-h prior
- Stratifying by presence of aircraft reconnaissance
 - Center fix within a time window prior to verifying time (e.g., 3–6 h)
- Simulated satellite imagery
- Spatial distributions of model verifications (coordinate w/ Tim Marchok and Gus Alaka)

- Formulating preliminary experiments with the Hurricane Specialist Unit (HSU) at NHC
- Active communications with the Environmental Modeling Center (EMC)
- Successive updates and adaptive integration of feedback from HSU
- Briefings with EMC/NCEP



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NHC model evaluation overview

1. Generating a fresh set of early guidance forecasts and determining the “optimal” interpolator offsets
2. Testing the addition or replacement of models in the simple consensus aids
3. Testing the addition or replacement of models in HCCA
4. Assessing the performance of individual models to be added or replaced
5. Synthesis & Recommendations



The National Hurricane Center Consensus Aids

| Model | Description | Model(s) to Replace |
|-------|--|----------------------|
| TVCN | Track Variable Consensus | HWRF (+HMON in EPAC) |
| IVCN | Intensity Variable Consensus | HWRF + HMON |
| RVCN | Wind Radii Variable Consensus | HWRF |
| HCCA | HFIP Corrected Consensus Approach Model | HWRF + HMON |
| DTOPS | Deterministic to Probabilistic Statistical Model for Rapid Intensification | HWRF |
| NNIC | Neural Network Intensity Combination Model | HWRF |

The NHC Forecast Verification Software

```
File verify_model_options.dat: Runtime inputs for verify_model
```

| Input file locations | | Normal Value |
|----------------------|---|--------------|
| data | Subdirectory for a-decks | data |
| N | READ_SUPA: Read data from supplemental a-decks? | N |
| tc20 | Subdirectory for supplemental a-decks | |
| wmpts_us.txt | File containing watches and warnings | wmpts_us.txt |

| Verification sample specifications | | Normal Value |
|------------------------------------|--|-------------------|
| 00000000,00000000 | MINDATE,MAXDATE (yyyymmdd) to include (issuance) | 00000000,00000000 |
| YYYY | Include forecasts from 00,06,12,18Z? | YYYY |
| 0,90 | RLATMIN,RLATMAX (N) for initial positions | 0,90 |
| -140,0 | RLLONMIN,RLLONMAX (E) for initial positions | -140,0 |
| N | Include only forecasts verifying within a box? | N |
| -20,90 | FLATMIN,FLATMAX (N) if verifying only in a box | |
| -140,-50 | FLLONMIN,FLLONMAX (E) if verifying only in a box | |
| 0 | CLPR/SHFR option: 0=Calc,1=Wrt,2=Rd c-deck,3=Rd a-deck | 0 |
| 0 0 0 0 0 | MLAG(5): Lag (h) | 0 0 0 0 0 |
| Y | Accept 12-h interpolations as 6-h interpolations? | Y |
| 2 | Minimum number of models required for variable cons. | 2 |
| N | WATER_ONLY: Stop verif when fcst or bt hits land? | N |
| A | FCSTNEARLAND Keep (A)ll, (N)ear or (F)ar from land? | A |
| A | SIZECHECK Keep (A)ll, (L)arge or (S)mall storms? | A |
| 200 | DIST_LAND Fcst tossing threshold (nmi) | 200 |
| 200 | STMSIZE ROCI size-tossing threshold (nmi) | 200 |
| 15 | Intensity (kt) assigned to dissipation stage | 15 |
| Y | WS_CRIT_VT: Apply WS criteria to verification time? | Y |
| N | ACPTALLST_INIT: Accept any status at initial time? | N |
| N | ACPTALLST_VT: Accept any status at verifying time? | N |
| N | VER_TD_INIT: Only verify fcst if TD at T=0? | N |
| N | VER_NY34: Only verify prior to system reaching 34 kt? | N |
| N | FDIFF_ONLY: Only verify if forecasts different? | N |
| N | VER_RI: Only verify if RI/RW occurring at VT. | N |
| N | VER_RIF: Only verify if RI occurring or fcst at VT. | N |
| 30,24 | DELT_RI, DELT_RI: RI/RW wind, interval values (kt,hr) | 30,24 |
| N | VICAT: Verify intensity categories, rather than speed? | N |
| N | VER_OPER: Use operational track to verify with? | N |
| N | VER_SAB: Use SAB classifications to verify with? | N |
| Y | SKP_ENSM: Skip over ensemble members in a-deck? | Y |

| Output data specifications | | Normal Value |
|----------------------------|--|--------------|
|----------------------------|--|--------------|

- Fortran processing and Python post-processing
- Configurable options for verification criteria
 - HFIP RI definition, Watches/Warnings, Aircraft reconnaissance, Distance to land masses, and more
- Flexible verification of single/multiple storms and seasons
 - Aggregated per storm, year, and over a range of years
- Tabulation of forecast errors
- Generates variable consensus aids on-the-fly
- CLIPER5/D-SHIFOR5 for climatology/persistence baseline in the annual Tropical Cyclone Reports

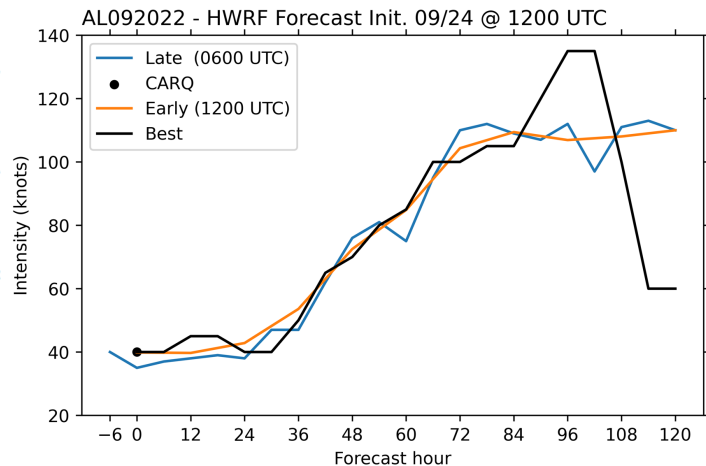


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Generating a fresh set of early guidance forecasts

- Prerequisite to all subsequent analyses
- Guidance from dynamical models arrives “late” to forecasters
 - Example – 1200 UTC cycle with forecast issued @ 1500 UTC, but model output arrives after forecast issuance



Interpolation Process

1. Late forecast interpolated to 3-h time steps with a linear b-spline
2. Smooth 10 times with a 1-2-1 filter
3. Adjust current intensity ($t = 0$ h) with CARQ entry
4. Relax intensity offset within the time window specified by the offset start (T_i) and offset end (T_f)

“Optimal” Interpolator Offsets

Baseline Definitions

1. Constant offset
2. Lagged forecast
3. Offset applied at $\tau \leq 06$ h
4. Offset applied at $\tau \leq 12$ h

For each baseline (m) and offset start/stop couplet (n^{th} model):

- Sum the intensity skill S over all basins (j) and verifying times (k)

$$S_{m,n} = \sum_{k=0}^{10} \sum_{j=0}^1 S_{j,k,m,n}$$

j = Basin (AL, EP)

k = Forecast verification time (0–120 h)

m = Baseline (see Slide 2)

n = Model (offset start/stop couplet)

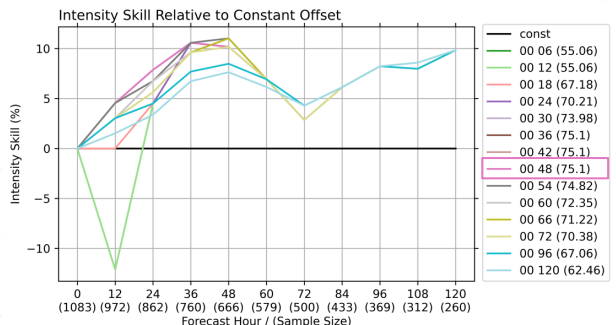


UIFCW 2023

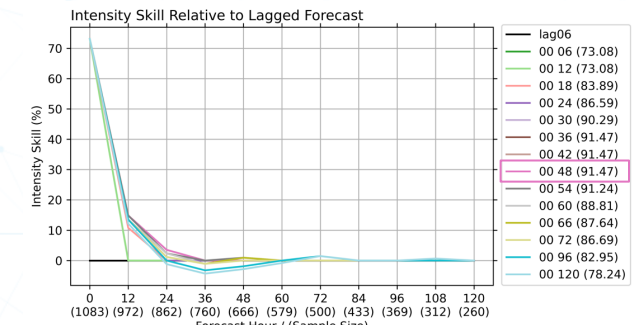
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Operational Interpolator Offsets for HFSA/HFSB

Constant Offset



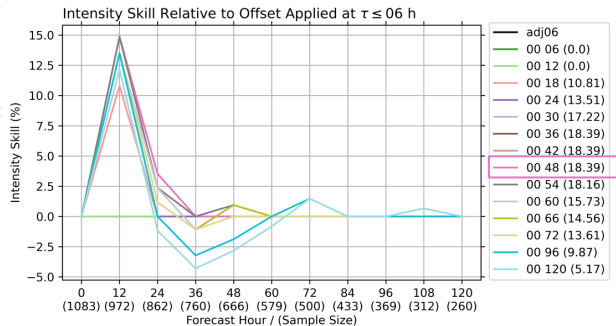
Lagged Offset



Aggregated over AL/EP basins and all verifying times $\tau = \{00, \dots, 120\}$ h

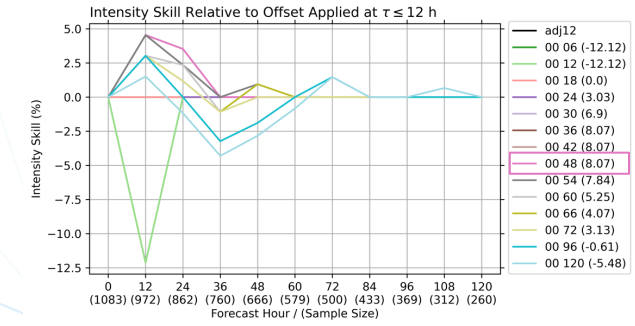
HFSA - $T_i = 00$; $T_f = 48$
 HFSB - $T_i = 06$; $T_f = 42$

Offset at $\tau \leq 06$ h



Example for HFSA

Offset at $\tau \leq 12$ h



Track Variable Consensus (TVCN) Verification

TVCN – Variable, equally-weighted consensus with minimum 2 members

North Atlantic (AL) – 6 models with only HWRF to be replaced

- TVCN1 – AVNI, CTCI, EGRI, EMNI, EMXI, HWFI
- TVCN2 – Replace HWFI with HFAI

** Note – TVCN with HWRF, HMON, HFSA, HFSA in operations for 2023

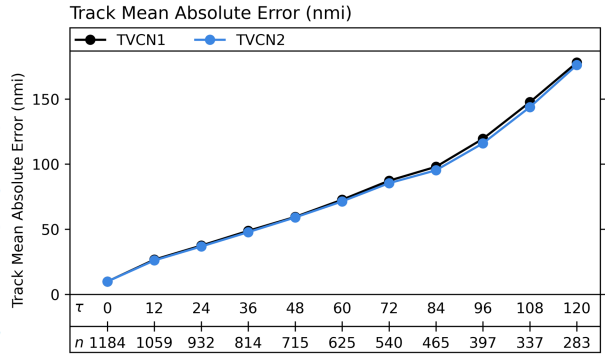


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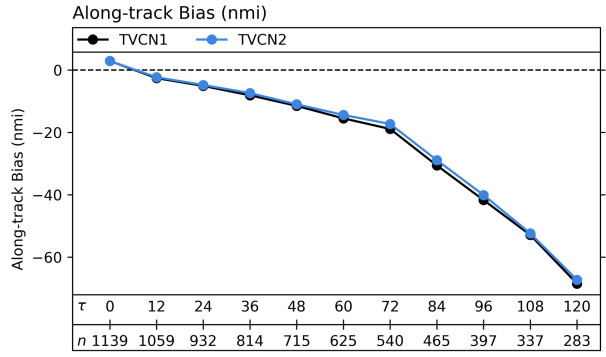
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Track Variable Consensus (TVCN) Verification

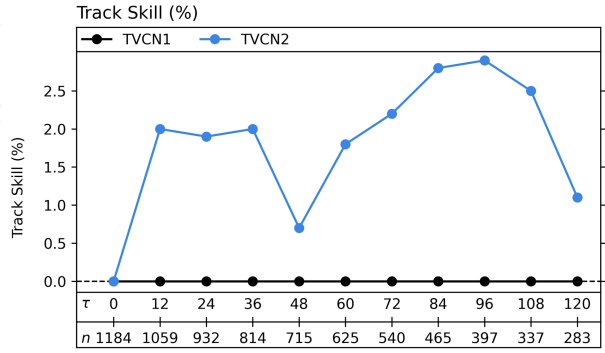
Mean Absolute Error



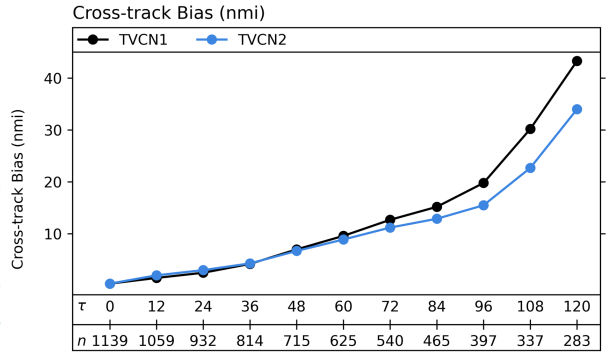
Along-track Bias



Relative Skill



Cross-track Bias



- HAFSv1 track forecasts consistently outperform HWFI and HMNI
- Reduced along- and cross-track biases

Intensity Variable Consensus (IVCN) Verification

IVCN – Variable, equally-weighted consensus with minimum 2 members

North Atlantic (AL) – 5 models with HWRF + HMON to be replaced

- IVCN1 – DSHP, CTCI, HMNI, HWFI, LGEM
- IVCN2 – Replace HWFI + HMNI with HFAI + HFBI

** Note –IVCN with HWRF, HMON, HFSA, HFBSB in operations for 2023

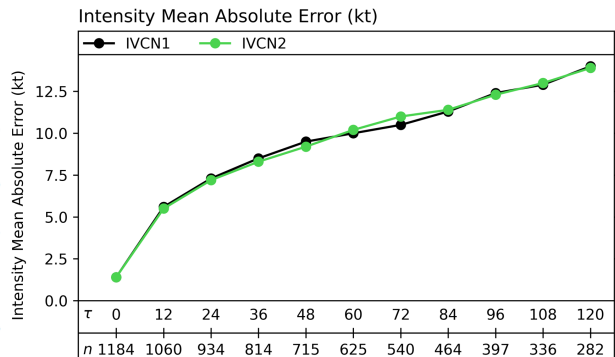


UIFCW 2023

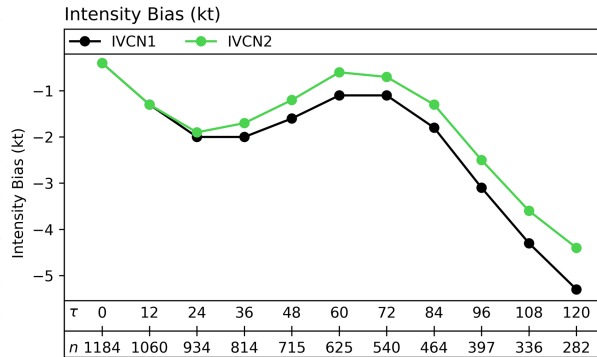
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Intensity Variable Consensus (IVCN) Verification

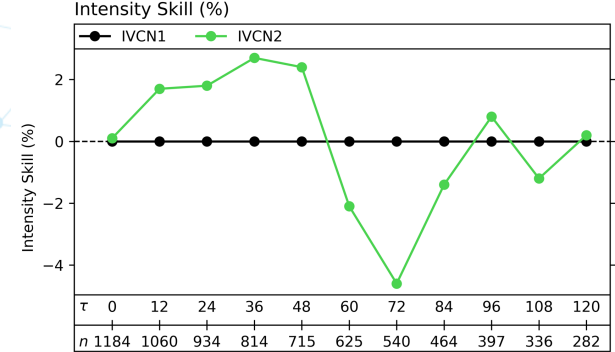
Mean Absolute Error



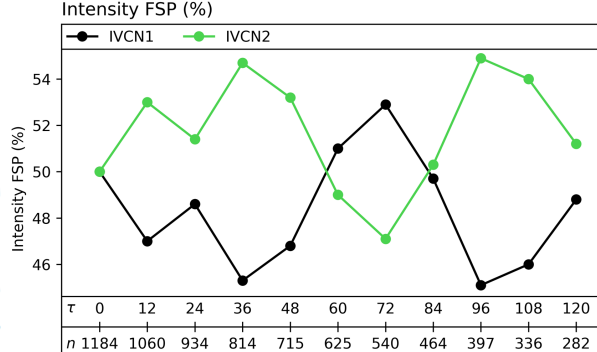
Bias



Relative Skill



FSP



- HAFSv1 improves intensity forecast skill of IVCN through day 2
- Slight degradation of skill between days 2-4
- Reduced intensity bias

Wind Radii Variable Consensus (RVCN) Verification

RVCN – Variable, equally-weighted consensus with minimum 1 member

Different techs given uniform interpolator offsets (06/18) compared to track/intensity

North Atlantic (AL) – 4 models with HWRF to be replaced

- RVCN1 – AHNI (AVNI), CHCI (CTCI), EHXI (EMXI), HHFI (HWFI)
- RVCN2 – Replace HHFI (HWFI) with HHAI (HFAI)

** Note –RVCN with HWRF, HFSA, HFSB in operations for 2023

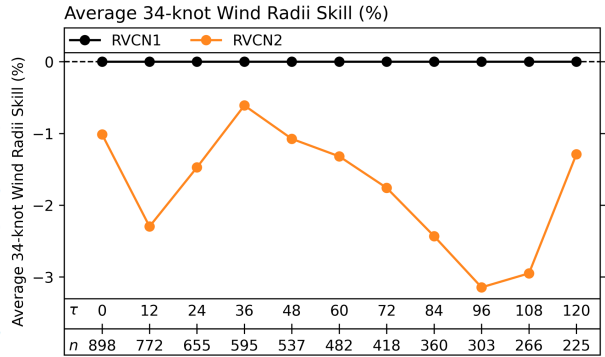


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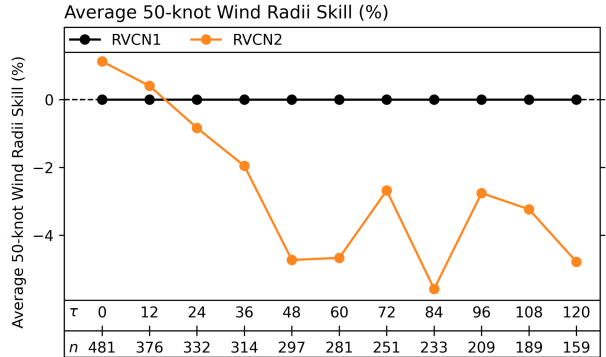
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Wind Radii Variable Consensus (RVCN) Verification

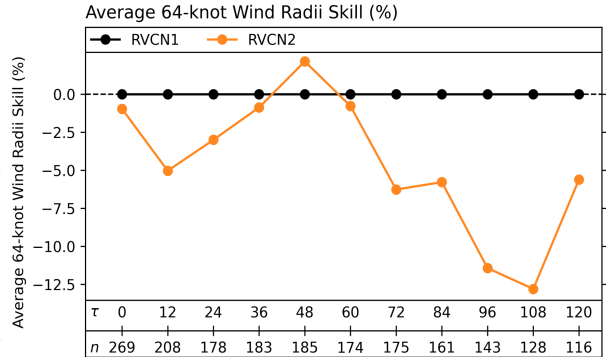
R34 Relative Skill



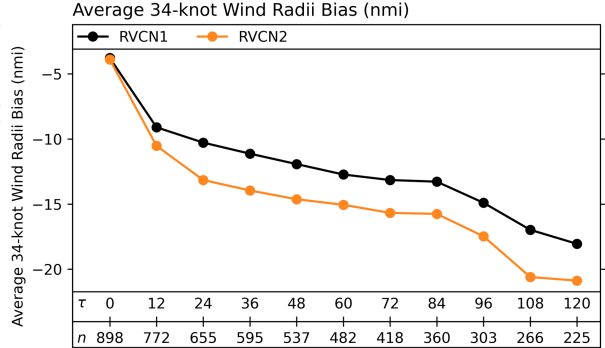
R50 Relative Skill



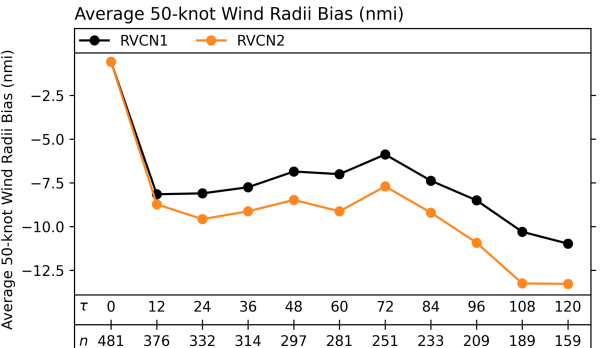
R64 Relative Skill



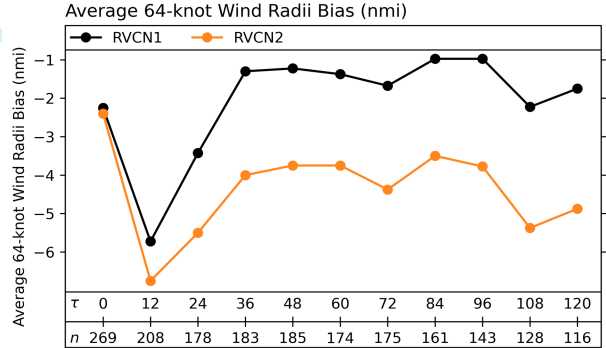
R34 Bias



R50 Bias



R64 Bias



HCCA Verification

HCCA – Variable, unequally-weighted consensus using a “super ensemble” approach

Leave-one-out training – All TCs included in training data except the one being forecast

- HCCA – baseline 2022 configuration
- HCCX (track) – add HFAI + HFBI (keep HWFI)
- HCCX (intensity) – add HWFI + HMNI (keep HWFI + HMNI)

** Note – HCCA has HWRF, HMON, HFSA, HFSA and additional updates for 2023.

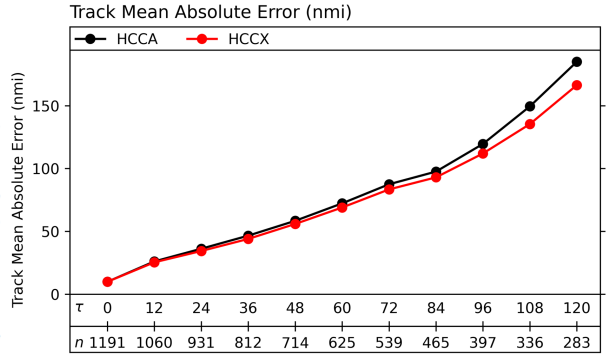


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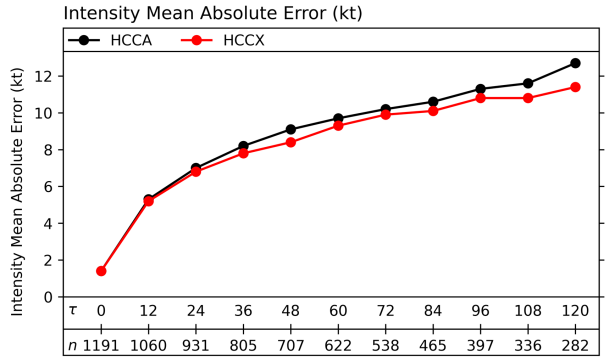
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HCCA Verification

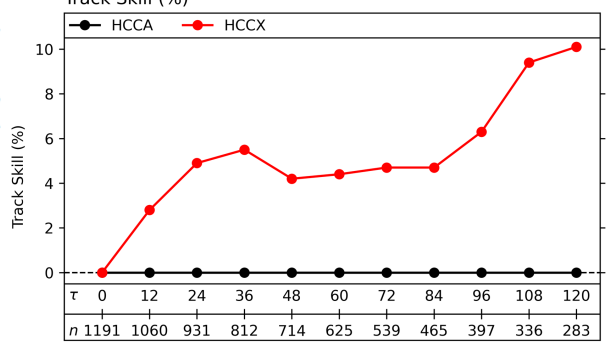
Track Mean Absolute Error



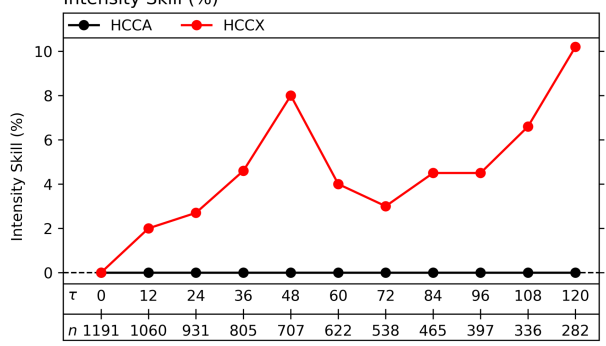
Track Mean Absolute Error



Track Relative Skill

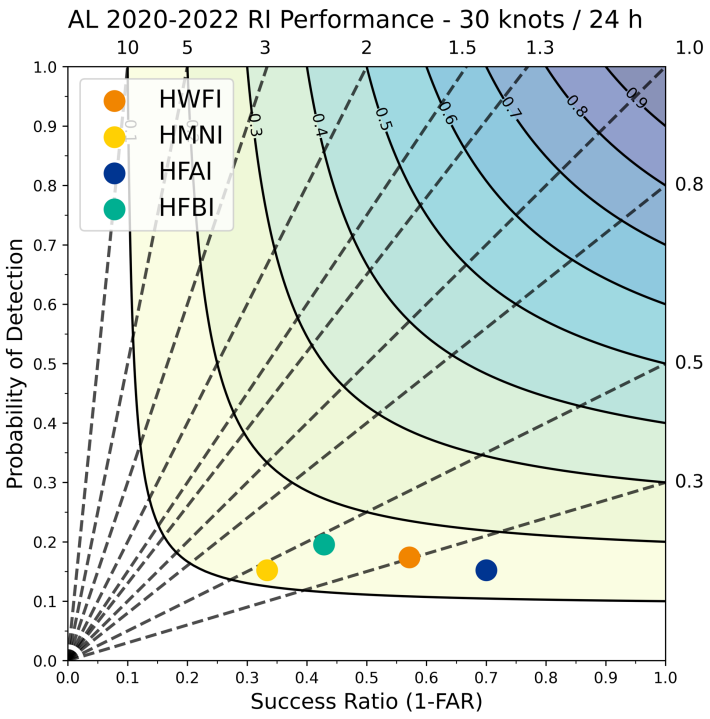


Intensity Relative Skill



- Track and intensity forecast skill improve up to ~10%

Rapid Intensification – 25 kt/24 h (occurring or forecast)



HWFI **RI Observed**

| | Yes | No | Total |
|-----------------|-----|-----|-------|
| RI Forecast Yes | 8 | 6 | 14 |
| RI Forecast No | 38 | 767 | 805 |
| Total | 46 | 773 | 819 |

HMNI **RI Observed**

| | Yes | No | Total |
|-----------------|-----|-----|-------|
| RI Forecast Yes | 7 | 14 | 21 |
| RI Forecast No | 39 | 759 | 798 |
| Total | 46 | 773 | 819 |

HFAI **RI Observed**

| | Yes | No | Total |
|-----------------|-----|-----|-------|
| RI Forecast Yes | 7 | 3 | 10 |
| RI Forecast No | 39 | 770 | 809 |
| Total | 46 | 773 | 819 |

HFBI **RI Observed**

| | Yes | No | Total |
|-----------------|-----|-----|-------|
| RI Forecast Yes | 9 | 12 | 21 |
| RI Forecast No | 37 | 761 | 798 |
| Total | 46 | 773 | 819 |

Summary of NHC Model Evaluation Process

- Multifaceted and multiorganizational evaluation for HAFSv1 transition to operations
 - Coordination between NHC, CIRA, EMC, HRD, DTC, and others
- Moving forward– standardizing the model evaluation process
- Looking ahead – parallel evaluations with METplus
 - Synthesizing NHC Verification Software features

NHC Model Evaluation - Standard Operating Procedure
in list [Notepad](#)

Notifications
Watching

Description Edit

Documentation for the model evaluation process at the National Hurricane Center is provided. The procedure comprises the following core elements to be described in further detail below:

1. Formatting tracker files from a new or upgraded model to ATCF
2. Determining optimal interpolator offsets for a new or upgraded model
3. Converting the late guidance to early guidance via the interpolator
4. (Optional) Generating wind radii consensus models
5. Running the NHC forecast verification software

Intermediate files are generally created for each step along the process. This approach favors error tracing and reproducibility at the expense of consuming disk space. If necessary, users can remove the intermediate file tree after the end product is created.

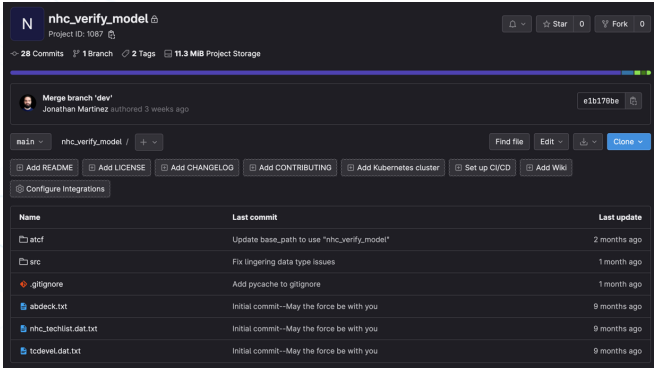
HCCA Production - Standard Operating Procedure
in list [Notepad](#)

Notifications
Watch

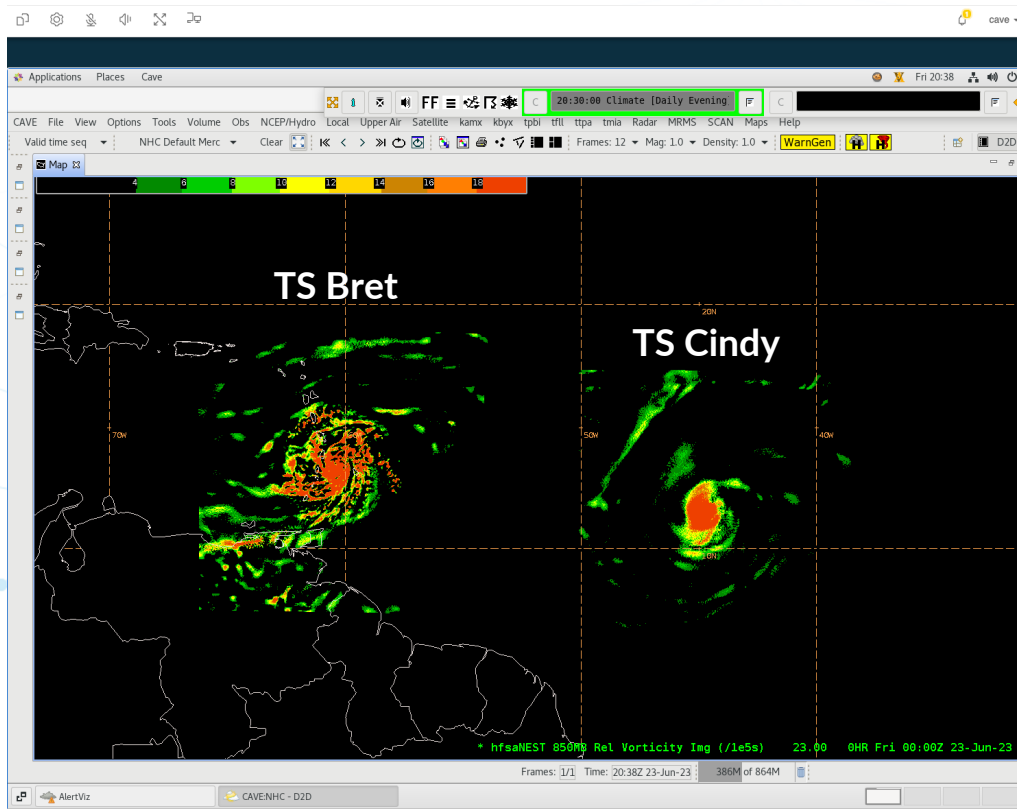
Description Edit

Hurricane Forecast Improvement Program (HFIP) Corrected Consensus Approach (HCCA) - Standard Operating Procedure

The standard operating procedure (SOP) for updating the HCCA operational training dataset and running development experiments was originally created during the 2023 HAFSv1 evaluation period. Documentation is provided to describe the process encompassing annual updates to the HCCA training dataset and the HCCA constituent models.



A sneak peak of HAFS in Cloud AWIPS



- Coordinating with Technology and Science Branch (TSB)
- Cloud AWIPS as a testbed/on-ramp for operations

